

Country by Country Reporting - Numis Corporation Plc

The disclosures below are given in accordance with the Capital Requirements (Country-by-Country Reporting) Regulations 2013 and are in respect of the 12 months ended 30 September 2021 and satisfy our reporting obligations for our 2021 financial year.

Names, nature of activities and geographical location

Geography	Entity	Nature of Activity
UK	Numis Corporation PLC	Holding company
	Numis Securities Limited	Financial services
	Numis Nominees (Client) Limited	Dormant
	Numis Nominees (NSI) Limited	Dormant
	Numis Nominees Limited	Dormant
	Numis Asset Management Limited	Dormant
United States of America	Numis Securities Inc.	Financial services
Ireland	Numis Europe Limited	Non-trading

Reportable items

	UK	United States of America	Inter-company Adjustments	Consolidated Reporting
Average number of employees	280	12	0	292
Turnover, £'000	207,200	12,699	(4,317)	215,582
Profit before tax, £'000	58,903	2,432	12,815	74,150
Corporation tax paid, £'000	16,976	623	0	17,599
Public subsidies received, £'000	0	0	0	0

Basis of preparation

The reportable items presented above accord with those presented in the relevant individual legal entity financial statements and the consolidated financial statements of Numis Corporation Plc for the year ended 30 September 2021. All of those financial statements requiring audits have been audited and have unqualified audit opinions.

Working Notes:

UK
 US
 Ireland
 Consolidated Reporting
 Average number of employees
 Turnover, £'000
 Profit before tax, £'000
 Corporation tax paid, £'000
 Inter company adjustments

NSL and NCP individual accounts
 NSI
 NEL
 Group accounts - all numbers agree to annual report and accounts from 'Staff Numbers' audit schedule supporting Group accounts disclosure and stats per stat accounts, UK = NSL only as NAM & NCP have no external turnover, USA - NSI per consolidation schedule per stat accounts, UK = NSL only as NAM & NCP have no external PBT - NSI per consolidation schedule per stat account cash flow workings
 per consolidation schedule, consolidated reporting numbers agree to published group accounts