

Numis Corporation Plc ("Numis")

Grant of awards under 2021 Long Term Incentive Plan (LTIP) and

Notification of transactions by PDMRs

Embargoed for release 7.00 am, 19 January 2022

The Board announces that on 18 January 2022 the Company granted 1,301,774 performance shares under the Numis Corporation Long Term Incentive Plan 2021 ("Awards") to the Executive Directors of the Company. The grant of these Awards is in line with the Remuneration policy set out in the Company's latest Annual Report published on 20 December 2021.

Director	Award shares
Alex Ham	562,130
Ross Mitchinson	562,130
Andrew Holloway	177,514

The vesting of the Award shares is conditional on meeting performance conditions measured over a three-year period as described below. The Awards are subject to a further one year holding period during which vested awards cannot be sold.

Performance conditions

The Awards are subject to total shareholder return ("TSR") growth measures. TSR growth will be calculated as the difference between the 3 month average return index immediately before the start of the performance period and the average return index performance over the final 12 months of the 3 year performance period.

TSR Performance target	Percentage of Award vesting
Below 6%	0%
6% p.a.	25%
6% - 20% p.a	Between 25% and 100% on a straight-line basis
20% p.a.	100%

Awards will also be subject to an underpin whereby the Remuneration Committee will need to be satisfied that vesting is warranted based on financial, compliance, culture and risk performance over the performance period including an assessment of Numis' financial performance when measured against its peers, over the performance period.

Shareholding requirement

Shareholding requirements are in place and the Executive Directors are required to retain a percentage of their salary in shares. The shareholding requirement will also continue one year post cessation.

Director	Percentage of salary
Alex Ham	500%
Ross Mitchinson	500%
Andrew Holloway	200%

NOTIFICATION AND PUBLIC DISCLOSURE OF TRANSACTIONS BY PERSONS DISCHARGING MANAGERIAL RESPONSIBILITIES (PDMR) AND PERSONS CLOSELY ASSOCIATED WITH THEM

1	Details of the person discharging managerial responsibility / person closely associated	
a)	Name	Alexander Ham
2	Reason for the notification	
a)	Position/status	PDMR (Joint Chief Executive Officer)
b)	Initial notification/amendment	Initial notification
3	Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor	
a)	Name	Numis Corporation Plc
b)	LEI	213800AREEDZZCNBHD25
4	Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted	
a)	Description of the financial instrument, type of instrument	Share options
	Identification code	Ordinary share ISIN : GB00B05M6465

b)	Nature of transaction	Grant of options over the ordinary share capital of the Company under the 2021 Long Term Incentive Plan (2021 LTIP)	
c)	Price(s) and volume(s)	Price(s)	Volume(s)
		Nil cost	562,130
d)	Aggregated information		
	Aggregated volume	562,130	
	Price	Nil cost	
e)	Date of transaction	18 January 2022	
f)	Place of transaction	UK, London Stock Exchange	

1	Details of the person discharging managerial responsibility / person closely associated	
a)	Name	Ross Mitchinson
2	Reason for the notification	
a)	Position/status	PD MR (Joint Chief Executive Officer)
b)	Initial notification/amendment	Initial notification
3	Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor	
a)	Name	Numis Corporation Plc

b)	LEI	213800AREEDZZCNBHD25	
4	Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted		
a)	Description of the financial instrument, type of instrument	Share options	
	Identification code	Ordinary share ISIN : GB00B05M6465	
b)	Nature of transaction	Grant of options over the ordinary share capital of the Company under the 2021 Long Term Incentive Plan (2021 LTIP)	
c)	Price(s) and volume(s)	Price(s)	Volume(s)
		Nil cost	562,130
d)	Aggregated information		
	Aggregated volume	562,130	
	Price	Nil cost	
e)	Date of transaction	18 January 2022	
f)	Place of transaction	UK, London Stock Exchange	

1	Details of the person discharging managerial responsibility / person closely associated		
a)	Name	Andrew Holloway	
2	Reason for the notification		
a)	Position/status	PDMR (Executive Director -Chief Finance Director)	
b)	Initial notification/amendment	Initial notification	
3	Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor		
a)	Name	Numis Corporation Plc	
b)	LEI	213800AREEDZZCNBHD25	
4	Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted		
a)	Description of the financial instrument, type of instrument	Share options	
	Identification code	Ordinary share ISIN : GB00B05M6465	
b)	Nature of transaction	Grant of options over the ordinary share capital of the Company under the 2021 Long Term Incentive Plan (2021 LTIP)	
c)	Price(s) and volume(s)	Price(s)	Volume(s)
		Nil cost	177,514
d)	Aggregated information		

	Aggregated volume	177,514
	Price	Nil cost
e)	Date of transaction	18 January 2022
f)	Place of transaction	UK, London Stock Exchange

The information communicated within this announcement is deemed to constitute inside information as stipulated under the Market Abuse Regulations (EU) No. 596/2014 which is part of UK law by virtue of the European Union (withdrawal) Act 2018. Upon the publication of this announcement, this inside information is now considered to be in the public domain.

19 January 2022

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Notes for Editors

Numis is a leading independent investment banking group offering a full range of research, execution, corporate broking and advisory services to companies and their investors. Numis is listed on AIM, and has offices in London and New York.