

Numis Corporation Plc (“Numis”)**MONDAY 6 SEPTEMBER 2021****Embargoed for Release at 1.00 pm****Directors’ Dealing****Vesting of options under the Numis Corporation Plc Long Term Incentive Plan 2016**

The Board of Numis announces that on 6 September 2021 the awards granted to Alex Ham and Ross Mitchinson in September 2016 (the “Award Shares”) vested in accordance with achievement against relevant performance conditions.

These performance conditions included certain share price performance hurdles measured over a consecutive 90 day period within the five year period following the grant date. The share price performance hurdles were achieved on the following dates:-

	<u>Share price</u> <u>hurdle</u>	<u>90 day average</u> <u>price hurdle</u> <u>achieved</u>
First hurdle price	£2.09	12/01/2017
Second hurdle price	£3.09	25/01/2018
Third hurdle price	£4.09	31/07/2018
Fourth hurdle price	£5.09	Not achieved

No consideration was paid to the Company for the grant of the awards and no consideration has been paid on the vesting of the Award Shares. Alex Ham and Ross Mitchinson have each sold part of their Award Shares, to fund tax liabilities arising from the vesting.

Following the vesting and disposal, the shareholdings of Alex Ham and Ross Mitchinson in the Company are as follows:-

Name of Director	Award Shares Vested	Award Shares sold	Award shares Acquired	Total Numis shares now held	% of Numis shares in issue
Alex Ham	3,424,822	1,609,668	1,815,154	2,423,895	2.12%
Ross Mitchinson	3,424,822	1,609,668	1,815,154	2,113,944	1.85%

Pursuant to Numis’ Remuneration Policy as disclosed in the Company’s latest annual report and accounts, shareholding requirements have been introduced for the Executive Directors. Under this policy the Co-CEOs are required to retain 500% of their salary in shares.

The Numis shares referred to above are Numis' ordinary shares of 5p each and the total number of shares in issue is **125,038,536** with each ordinary share carrying the right to one vote. The figure of **114,367,448** (excluding shares held in treasury) may be used by shareholders in the Company as the denominator for calculations by which they will determine if they require to notify interest in, or a change in their interest in, the share capital of the Company under the FCA's Disclosure and Transparency Rules.

The total number of shares held in treasury is **10,671,088 (9.33%)**

The total number of voting rights in Numis is **114,367,448**

These details have been provided in accordance with Article 5(1)(b) of the Market Abuse Regulation (EU) No 596/2014.

NOTIFICATION AND PUBLIC DISCLOSURE OF TRANSACTIONS BY PERSONS DISCHARGING MANAGERIAL RESPONSIBILITIES AND PERSONS CLOSELY ASSOCIATED WITH THEM

1	Details of the person discharging managerial responsibility / person closely associated	
a)	Name	Alex Ham
2	Reason for the notification	
a)	Position/status	PDMR (Co-CEO-Executive Director)
b)	Initial notification/amendment	Initial notification
3	Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor	
a)	Name	Numis Corporation Plc
b)	LEI	213800AREEDZZCNBHD25

4	Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted
----------	--

a)	Description of the financial instrument, type of instrument	Numis Ordinary Shares	
	Identification code	Ordinary share ISIN : GB00B05M6465	
b)	Nature of transaction	<p>1. Vesting of ordinary shares under the Numis Corporation Plc Long Term Incentive Plan 2016, ("LTIP 2016"),</p> <p>2. Sale of part of vested award shares under the LTIP Plan to fund tax liabilities arising</p>	
c)	Price(s) and volume(s)	Price(s)	Volume(s)
		1 Nil	1. 3,424,822
		2 £3.83	2. 1,609,668
d)	Aggregated information		
	Aggregated volume	N/A	
	Price	N/A	
e)	Date of transaction	6 September 2021	
f)	Place of transaction	UK, London Stock Exchange	

1	Details of the person discharging managerial responsibility / person closely associated	
a)	Name	Ross Mitchinson
2	Reason for the notification	

a)	Position/status	PDMR (Co-CEO -Executive Director)
b)	Initial notification/amendment	Initial notification
3	Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor	
a)	Name	Numis Corporation Plc

b)	LEI	213800AREEDZZCNBHD25
----	-----	----------------------

4	Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted	
----------	--	--

a)	Description of the financial instrument, type of instrument	Numis Ordinary Shares	
	Identification code	Ordinary share ISIN : GB00B05M6465	
b)	Nature of transaction	<p>1. Vesting of ordinary shares under the Numis Corporation Plc Long Term Incentive Plan 2016, ("LTIP 2016"),</p> <p>2. Sale of part of vested award shares under the LTIP Plan to fund tax liabilities arising</p>	
c)	Price(s) and volume(s)	Price(s)	Volume(s)
		3 Nil	1. 3,424,822
		4 £3.83	2. 1,609,668
d)	Aggregated information		
	Aggregated volume	N/A	
	Price	N/A	

e)	Date of transaction	6 September 2021
f)	Place of transaction	UK, London Stock Exchange

The information contained within this announcement was considered to be inside information, for the purposes of Article 7 of EU Regulation 596/2014, prior to its release.

6 September 2021

END

Contacts

Noreen Biddle-Shah, Head of Corporate Communications 020 7260 1441

Brunswick:

Nick Cosgrove

020 7404 5959

Simone Selzer

020 7404 5959

Grant Thornton UK LLP (Nominated Adviser):

Philip Secrett

020 7728 2578

Harrison J Clarke

020 7184 4384